

# Quantum Schur–Weyl duality and link invariants

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Graduate Algebra and Representation Theory Seminar  
November 2017

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<sup>1</sup>Happy to hear your questions or comments!

# Outline

1 Quantum Schur–Weyl duality

2 Link invariants

3 An example

# Representation categories of Lie algebras

$\mathfrak{g}$ : a Lie algebra over  $\mathbb{F}$

**Rep**: the category of (finite dimensional) representations

- $\mathbb{F} \in \text{Rep}$ :

$$xr = 0 \quad \forall r \in \mathbb{F}, x \in \mathfrak{g} .$$

- $M, N \in \text{Rep} \Rightarrow M \otimes N \in \text{Rep}$ :

$$x(m \otimes n) = xm \otimes n + m \otimes xn \quad \forall m \in M, n \in N .$$

- $M \in \text{Rep} \Rightarrow M^* = \text{Hom}_{\mathbb{F}}(M, \mathbb{F}) \in \text{Rep}$ :

$$xf = -f(x \cdot) \quad \forall f \in M^* .$$

“ $-$ ” implies:

$M \otimes M^* \rightarrow \mathbb{F}$  is a morphism in  $\text{Rep}$ , i.e., a  $\mathfrak{g}$ -module map

# Associative algebras are nicer than Lie algebras...

$\mathcal{U} = \mathcal{U}(\mathfrak{g})$ : the universal enveloping algebra of  $\mathfrak{g}$  (associative + 1),  
 $\mathcal{U} = T(\mathfrak{g}) / (xy - yx - [x, y])$ ; generated by  $\{x\}_{x \in \mathfrak{g}}$  with relations

$$xy - yx = [x, y] \quad \forall x, y \in \mathfrak{g} .$$

$\Rightarrow \text{Rep} =$  the category of (finite-dimensional)  $\mathcal{U}$ -modules

We have algebra maps  $\varepsilon : \mathcal{U} \rightarrow \mathbb{F}$ ,  $\Delta : \mathcal{U} \rightarrow \mathcal{U} \otimes \mathcal{U}$ ,  $S : \mathcal{U} \rightarrow \mathcal{U}$   
 defined on the generators  $\{x\}_{x \in \mathfrak{g}}$  by

$$\varepsilon(x) = 0, \quad \Delta(x) = x \otimes 1 + 1 \otimes x, \quad S(x) = -x$$

such that:

$$\mathbb{F} \in \text{Rep} : ur = \varepsilon(u)r \quad \forall u \in \mathcal{U}$$

$$M \otimes N \in \text{Rep} : u(m \otimes n) = \Delta(u)(m \otimes n)$$

$$M^* \in \text{Rep} : uf = f(S(u) \cdot)$$

... as long as they are Hopf algebras!

Algebras with additional structure maps  $\varepsilon, \Delta, S$  as above satisfying certain axioms are called **Hopf algebras**.

Their representation categories are **rigid monoidal** categories (“they have duals and tensor products”).

$\Rightarrow \mathcal{U}$  is a Hopf algebra

$\forall$  vector spaces  $V, W: \tau_{V,W} : V \otimes W \rightarrow W \otimes V, v \otimes w \mapsto w \otimes v.$

$\Rightarrow \Delta = \tau_{\mathcal{U},\mathcal{U}} \circ \Delta$  ( $\Leftrightarrow: \mathcal{U}$  is **cocommutative**)

$\Leftrightarrow$  Rep is **symmetric monoidal** with the symmetric braiding  $\tau$ :

$\forall M, N \in \text{Rep}, \tau_{M,N}$  gives an isomorphism in Rep and  $\tau^2 = \text{id}.$

**Caution:** Cocommutative Hopf algebras / symmetric monoidal categories are a special case!

# Endomorphisms of tensor powers

We fix a module  $M \in \text{Rep}$  and  $n \geq 1$ .

$\Rightarrow M^{\otimes n} \in \text{Rep}$  and we have an algebra map

$$\phi (= \Delta^{n-1}): \mathcal{U} \rightarrow \text{End}(M^{\otimes n})$$

$$s_i := \text{id}^{\otimes(i-1)} \otimes_{\mathcal{T}_{M,M}} \otimes \text{id}^{\otimes(n-i-1)}: M^{\otimes n} \rightarrow M^{\otimes n} \text{ for } 1 \leq i < n$$

- $\psi: S_n \rightarrow \text{GL}(M^{\otimes n})$ ,  $(i \ i+1) \mapsto s_i$  defines a group homo.  
 $(s_i^2 = \text{id}, s_i s_j s_i = s_j s_i s_j \text{ if } |i-j|=1, s_i s_j = s_j s_i \text{ if } |i-j| > 1)$
- So we have an algebra map  $\psi: \mathbb{F}[S_n] \rightarrow \text{End}(M^{\otimes n})$ .<sup>2</sup>
- For all  $u \in \mathcal{U}$  and all  $i$ :  $\phi(u), \psi(s_i)$  commute!

$\Rightarrow \phi(\mathcal{U}), \psi(\mathbb{F}[S_n])$  are commuting algebras in  $\text{End}(M^{\otimes n})$

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<sup>2</sup>For a group  $G$ ,  $\mathbb{F}[G]$  is the algebra gen. by  $\{e_g\}_{g \in G}$  with rel.s  $e_g e_h = e_{gh}$ .

# Let's specialize to $\mathfrak{gl}_d(\mathbb{C})$

Let us specialize  $\mathbb{F} = \mathbb{C}$ ,  $\mathfrak{g} = \mathfrak{gl}_d(\mathbb{C})$ ,  $M = \mathbb{C}^d$  for  $d \geq 1$ .

## Schur–Weyl duality

$\phi(\mathcal{U}(\mathfrak{gl}_d))$ ,  $\psi(\mathbb{C}[S_n])$  are (full!) commutators of each other in  $\text{End}((\mathbb{C}^d)^{\otimes n})$ .

As a corollary,  $(\mathbb{C}^d)^{\otimes n} = \bigoplus_{\lambda} V_{\lambda} \otimes W_{\lambda}$  for pairwise non-isomorphic irreducible  $\mathfrak{gl}_d$ -modules  $V_{\lambda}$  /  $S_n$ -modules  $W_{\lambda}$ .

More concretely,  $\{\lambda\}$  can be taken to be the set of partitions of  $n$  with at most  $d$  parts. (Equivalently, partitions of  $n$  with all parts being at most  $d$ .)

# Quantization

For  $q \in \mathbb{C} \setminus \{0, 1\}$ ,  $\mathcal{U}_q = \mathcal{U}_q(\mathfrak{gl}_d)$  is a Hopf algebra deformation of  $\mathcal{U} = \mathcal{U}(\mathfrak{gl}_d)$  such that “ $\mathcal{U}_q \rightarrow \mathcal{U}$  as  $q \rightarrow 1$ ”.

$\mathcal{U}_q$  (still) has  $\mathbb{C}^d$  as a natural standard module.

The representation category  $\text{Rep}_q$  is rigid monoidal, but **not symmetric** anymore.  $S_n$  **does not act** on  $(\mathbb{C}^d)^{\otimes n}$ .

There is still a **braiding**  $c_{M,N}: M \otimes N \rightarrow N \otimes M$  for  $M, N \in \text{Rep}_q$  with  $c^2 \neq \text{id}$  generally.

$\text{Rep}_q$  is (still) a **ribbon category**: it has tensor products, duals, a braiding and twists, and they are compatible.



# Quantum Schur–Weyl duality

$$S_n = \text{group generated by } s_1, \dots, s_{n-1} \text{ and relations:}$$

$$s_i^2 = 1, \quad \underbrace{s_i s_j s_i = s_j s_i s_j \text{ if } |i-j|=1, \quad s_i s_j = s_j s_i \text{ if } |i-j|>1}_{\text{braid relations}}$$

$$\mathcal{U}(\mathfrak{gl}_d) \xrightarrow{\phi} \text{End}((\mathbb{C}^d)^{\otimes n}) \xleftarrow{\psi} \mathbb{C}[S_n] \quad \text{double centralizer}$$

**braid group**  $\text{Br}_n$  = group generated by  $\sigma_1, \dots, \sigma_{n-1}$  with braid relations

**Hecke algebra**  $\mathcal{H}_{q,n} = \mathbb{C}$ -algebra generated by  $T_1, \dots, T_{n-1}$  with braid relations and  $(T_i + q)(T_i - q^{-1}) = 1$

$$\begin{array}{ccc}
 & & \mathbb{C}[\text{Br}_n] \\
 & \swarrow \sigma_i \mapsto \text{id}^{\otimes(i-1)} \otimes c \otimes \text{id}^{\otimes \dots} & \downarrow \sigma_i \mapsto T_i \\
 \mathcal{U}_q(\mathfrak{gl}_d) & \longrightarrow \text{End}((\mathbb{C}^d)^{\otimes n}) \xleftarrow{\quad} & \mathcal{H}_{q,n}
 \end{array}$$

double centralizer

# Outline

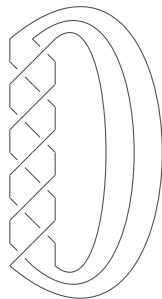
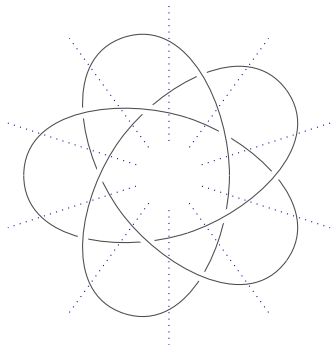
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## Braids and links – Alexander

(oriented) link := finite collection of smoothly embedded (oriented) circles in 3-space

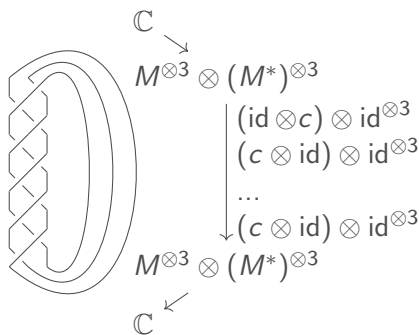
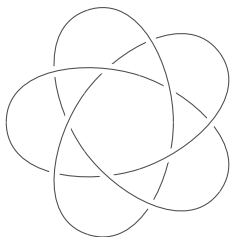


### Alexander's theorem

(Oriented) links are closures of (oriented) braids.

# Link invariants from quantum groups

We fix  $M \in \text{Rep}_q$ .

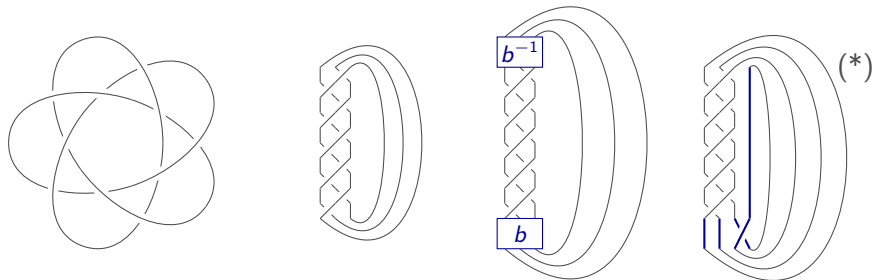


- $\mathbb{C}[\text{Br}_n] \rightarrow \text{End}(M^{\otimes n})$ , braid  $\mapsto$  endomorphism
- closing the braid  $\leftrightarrow$  taking the trace

## Reshetikhin–Turaev

The ribbon category  $\text{Rep}_q$  yields link invariants in this way.

## Braids and links – Markov



## Markov

$$\frac{\{\text{links}\}}{\text{isotopy}} \leftrightarrow \frac{\{\text{braids}\}}{\text{conjugations, Markov moves (*)}}$$

# Link invariants from Hecke algebras

knots  $\rightarrow$  braids  $\rightarrow \bigcup_{n \geq 1} \mathbb{C}[\text{Br}_n] \rightarrow \mathcal{H}_q := \bigcup_{n \geq 1} \mathcal{H}_{q,n}$

A linear map  $\text{Tr} : \mathcal{H}_q \rightarrow \mathbb{C}$  is called normalized **Markov trace** with parameter  $z \in \mathbb{C}$

$$:\Leftrightarrow \text{Tr}(1) = 1, \quad \text{Tr}(ab) = \text{Tr}(ba), \quad \text{Tr}(M(b)) = z \text{Tr}(b)$$

for all  $a, b \in \mathcal{H}_q$ , where  $M(b)$  is the modification of  $b$  according to the Markov move.

## Ocneanu

For all  $q, z$ , there is a unique normalized Markov trace.

## Jones

Every normalized Markov trace yields an invariant for oriented links. Ocneanu's trace yields the two-parameter HOMFLYPT polynomial.

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# The Temperley–Lieb algebra

For  $d = 2$ , the image of  $\mathbb{C}[\text{Br}_n] \rightarrow \text{End}((\mathbb{C}^2)^{\otimes n})$  is...

**Temperley–Lieb algebra**  $\text{TL}_n(\delta)$  generated by  $u_1, \dots, u_{n-1}$

with the relations:

$$u_i^2 = \delta u_i, \quad u_i u_j u_i = u_i \text{ if } |i - j| = 1, \quad u_i u_j = u_j u_i \text{ if } |i - j| > 1.$$

Graphically,  $u_i$  corresponds to  $\left| \cdots \right|_1 \begin{array}{c} \cup \\ \cap \end{array} \left| \cdots \right|_m$  and composition

corresponds to stacking diagrams (“crossingless matchings”), where circles are evaluated to  $\delta$ .

E.g.,

$$u_i u_{i+1} u_i = \dots \begin{array}{c} \cup \\ \cap \\ \cup \\ \cap \end{array} \dots = \dots \begin{array}{c} \cup \\ \cap \end{array} \left| \cdots \right| = u_i$$



# Braids and the Temperley–Lieb algebra

For any  $\nu \in \mathbb{C}$ , we have a group homomorphism  $\eta: \text{Br}_n \rightarrow \text{TL}_n(\delta)$  sending  $\sigma_i \mapsto \nu u_i + \nu^{-1}$ , i.e.,  $\begin{array}{c} \diagup \\ \diagdown \end{array} \mapsto \nu \begin{array}{c} \frown \\ \smile \end{array} + \nu^{-1} \begin{array}{|} \hline \\ \hline \end{array}$  for  $\delta = -\nu^2 - \nu^{-2}$ .

Pf.: By graphical calculus, e.g.,

$$(\nu \begin{array}{c} \frown \\ \smile \end{array} + \nu^{-1} \begin{array}{|} \hline \\ \hline \end{array})(\nu^{-1} \begin{array}{c} \frown \\ \smile \end{array} + \nu \begin{array}{|} \hline \\ \hline \end{array}) = \begin{array}{c} \smile \\ \smile \end{array} + \nu^2 \begin{array}{c} \frown \\ \frown \end{array} + \nu^{-2} \begin{array}{c} \smile \\ \smile \end{array} + \begin{array}{|} \hline \\ \hline \end{array} =$$

$$(\delta + \nu^2 + \nu^{-2}) \begin{array}{c} \frown \\ \smile \end{array} + \begin{array}{|} \hline \\ \hline \end{array} = \begin{array}{|} \hline \\ \hline \end{array} \quad \Rightarrow \eta \left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right) = \nu^{-1} \begin{array}{c} \frown \\ \smile \end{array} + \nu \begin{array}{|} \hline \\ \hline \end{array}$$

$$\eta \left( \begin{array}{c} \diagup \\ \diagdown \\ \diagup \\ \diagdown \end{array} \right) = \eta \left( \nu^{-1} \begin{array}{c} \frown \\ \smile \\ \diagdown \\ \diagup \end{array} + \nu \begin{array}{c} \diagup \\ \diagdown \\ \diagup \\ \diagdown \end{array} \right) = \eta \left( \nu^{-1} \begin{array}{c} \smile \\ \frown \\ \diagdown \\ \diagup \end{array} + \nu \begin{array}{c} \diagup \\ \diagdown \\ \diagup \\ \diagdown \end{array} \right) =$$

$$\dots = \eta \left( \begin{array}{c} \diagup \\ \diagdown \\ \diagdown \\ \diagup \end{array} \right) \dots$$

## Always trouble with the Markov move

**But:** Above assignment is **not** invariant under the Markov move!

Recall  $\delta = -\nu^2 - \nu^{-2}$ :

$$\begin{array}{c} \diagdown \diagup \mapsto \nu \quad \cup \quad + \nu^{-1} \quad | \quad \emptyset = (\nu + \nu^{-1} \delta^2) \quad | = -\nu^{-3} \quad | \quad , \end{array}$$

$$\begin{array}{c} \diagup \diagdown \mapsto \nu^{-1} \quad \cup \quad + \nu \quad | \quad \emptyset = -\nu^3 \quad | \quad . \end{array}$$

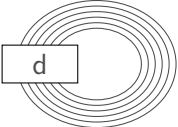
We obtain an assignment invariant under the Markov move by passing to **oriented** links and letting

$$\left( \begin{array}{c} \nearrow \\ \nwarrow \end{array} \right)^{\pm 1} \mapsto -\nu^{\pm 3} \left( \nu^{\pm 1} \quad \cup \quad + \nu^{\mp 1} \quad || \right)$$

Now both  $\begin{array}{c} \nearrow \\ \nwarrow \end{array}$  and  $\begin{array}{c} \nwarrow \\ \nearrow \end{array}$  are mapped to  $|$ .

## Link invariants from the Temperley–Lieb algebra

We define the trace  $\text{Tr} : \text{TL}_n(\delta) \rightarrow \mathbb{C}$  by “closing the diagram”

$\text{Tr}(d) =$   , where each circle gets evaluated to  $\delta$ .

Let  $q := -\nu^{-2}$ . Recall  $\delta = -\nu^2 - \nu^{-2} = q + q^{-1}$ .

The Markov invariant assignment together with the trace map define an invariant  $J$  for oriented links

with normalization  $J(\bigcirc) = \delta = q + q^{-1}$

and skein relation  $q^2 J(\nearrow) - q^{-2} J(\searrow) = (q - q^{-1}) J(\uparrow\uparrow)$ .

This is the **Jones polynomial** (up to the normalization)!

# Gimme s'more!

There is a family of invariants  $(P_n)_{n \geq 0}$  with skein relation

$$q^n P_n(\text{↗↘}) - q^{-n} P_n(\text{↘↗}) = (q - q^{-1}) P_n(\text{↑↑})$$

and the normalization  $P_n(\bigcirc) = \frac{q^n - q^{-n}}{q - q^{-1}}$ .

$P_0 =$  Alexander polynomial,  $P_1 \equiv 1$ ,  $P_2 =$  Jones polynomial, ...

- All of these can be obtained from quantum groups, too.
- The HOMFLYPT polynomial is a 2-parameter generalization.
- The HOMFLYPT polynomial is not a complete invariant.
- Categorification  $\Rightarrow$  HOMFLYPT is the Euler characteristic of “Khovanov’s triply graded link homology”.

## References

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